Serial No. 10/531,284 OA dated July 12, 2007 Amdt. dated November 13, 2007 Page 2

## **IN THE DRAWINGS**:

Please substitute the attached replacement sheets for FIGS. 2 and 4 of record.

OA dated July 12, 2007 Amdt. dated November 13, 2007

Page 3

**REMARKS** 

By the present Amendment, the drawings have been amended to address the issue

noted on page two of the Office Action. Specifically, replacement sheets have been

provided for FIGS. 2 and 4 to identify these drawings as "Prior Art." Entry of this

Amendment is in order, and such action is respectfully requested.

In the Office Action, it was indicated that FIGS. 2 and 4 should be designated by a

legend such as "Prior Art". Attached are replacement sheets for FIGS. 2 and 4 to identify

these drawings as "Prior Art." It is respectfully requested that acceptance of the drawings

be confirmed in a Notice of Allowability or, if not immediately allowed, in the next Office

Action Summary.

Claims 1-4 were rejected under 35 USC §103(a) as being unpatentable over the

patent to Chang et al (U.S. 5,808,793) in view of the patent publication to Trotter, Jr. (U.S.

2002/0154403). Reconsideration of this rejection is respectfully requested in view of the

following detailed remarks and comments.

It was asserted in the rejection that the presently claimed invention would have been

obvious to one of ordinary skill in the art under combined teachings of the Chang patent,

which allegedly discloses a broadband, semi-double-type optical isolator, and the Trotter

publication, which allegedly discloses an optical isolator making use of a polarizer

comprising photonic crystals. More specifically, it was asserted in the Office Action that

FIG. 4 of Chang depicts a polarization-dependent optical isolator unit made up of a

polarizer (49a), a Faraday rotator (45a), a polarizer (49b), a Faraday rotator (45b) and a

polarizer (49c). It was further asserted that the broadband, semi-double-type optical

isolator of the presently claimed invention can be made up by replacing the polarizers (49a,

49c) of the Chang patent with the polarizers of the Trotter publication, each of which

comprises photonic crystals.

Applicants cannot agree with the foregoing assertions. Specifically, it is

unreasonable to contend that because (1) the optical isolator of the Trotter publication is

nothing but a structure having a defect sufficiently serious not to function as an optical

isolator and (2) where the broadband semi-double-type optical isolator of the Chang patent

is combined with the optical isolator of the Trotter publication, it is natural that polarizers

are so used that all three polarizers (49a, 49b, 49c) are replaced with the polarizer

comprising photonic crystals and it is not necessary that polarizers are so used that only

two polarizers (49a, 49c) among the polarizers provided in threes (49a, 49b, 49c) are

selectively replaced with the polarizer comprising photonic crystals.

Conventional polarization-dependent optical isolator making use of glass

polarizer.

Conventional polarization-dependent optical isolators which use glass polarizers

have the structure of glass polarizer/Faraday rotator/glass polarizer as shown in Fig. 1A

OA dated July 12, 2007

Amdt. dated November 13, 2007

Page 5

of the attached EXHIBIT. Then, as shown in Fig. 1B of the same EXHIBIT, forward-

directed light passes through the incident-side glass polarizer (on the left side as viewed

in FIG. 1A). Thereafter, its plane of polarization is rotated by 45° at the Faraday rotator.

Hence, light passes through the emergent-side glass polarizer (on the right side as viewed

in FIG. 1A) without any attenuation of light (see lines 9-14 of page 2 of the subject

specification as filed).

On the other hand, as shown in Fig. 1C of the attache EXHIBIT, even where the

reflection return light has passed through the emergent-side glass polarizer (on the right

side as viewed in Fig. 1A), its plane of polarization is rotated by 45° at the Faraday rotator.

Hence, the light crosses the plane of polarization of the incident-side glass polarizer (on

the left side as viewed in Fig. 1A) and is intercepted (see lines 15-21 of page 2 of the

specification as filed).

Since the glass polarizer is an absorption type polarizer, the above reflection-return

light is absorbed in the incident-side glass polarizer (on the left side as viewed in FIG. 1A)

and is intercepted without being reflected at this glass polarizer.

Trotter's optical isolator uses a polarizer comprising photonic crystals

As shown in Fig. 2A of the attached EXHIBIT, the optical isolator of the Trotter

publication uses a polarizer comprising photonic crystals and has the structure of photonic

crystal polarizer/Faraday rotator/photonic crystal polarizer. Then, as shown in Fig. 2B of

OA dated July 12, 2007

Amdt. dated November 13, 2007 Page 6

the same EXHIBIT, forward-directed light passes through the incident-side photonic crystal

polarizer (on the left side as viewed in Fig. 2A), after which its plane of polarization is

rotated by 45° at the Faraday rotator. Thus, light passes through the emergent-side

photonic crystal polarizer (on the right side as viewed in Fig. 2A) without any attenuation

of light.

On the other hand, as shown in Fig. 2C of the attached EXHIBIT, even where

reflection-return light has passed through the emergent-side photonic crystal polarizer (on

the right side as viewed in Fig. 2A), its plane of polarization is further rotated by 45° at the

Faraday rotator. Consequently, the light crosses the plane of polarization of the incident-

side photonic crystal polarizer (on the left side as viewed in Fig. 2A) and is intercepted.

However, the photonic crystal polarizer is a reflection-type polarizer, and it differs

from a glass polarizer as is set forth at column 2, lines 1 and 2 of the Trotter publication,

as well as FIG. 2 thereof. Accordingly, light intercepted at the incident-side photonic crystal

polarizer (on the left side as viewed in Fig. 2A of the attached EXHIBIT) is reflected at this

photonic crystal polarizer and again enters the Faraday rotator.

Then, its plane of polarization is further rotated by 45° by the Faraday rotator. As

a result, light crosses the plane of polarization of the incident-side photonic crystal polarizer

(on the right side as viewed in Fig. 2A of the attached EXHIBIT) and is intercepted. Thus-

intercepted light is reflected at this photonic crystal polarizer and again enters the Faraday

rotator, where its plane of polarization is rotated by 45° and finally passes through the

OA dated July 12, 2007 Amdt. dated November 13, 2007

Page 7

incident-side photonic crystal polarizer (on the left side as viewed in Fig. 2A).

Thus, according to the <u>Trotter</u> publication, the optical isolator makes use of the polarizer comprising photonic crystals, and reflection-return light is repeatedly reflected twice in the interior of the optical isolator and finally passes through the incident-side photonic crystal polarizer (on the left side as viewed in Fig. 2A). Such an optical isolator by no means functions as an optical isolator. Since the optical isolator of the <u>Trotter</u> publication is nothing but a structure having a sufficiently serious defect so as not to function as an optical isolator, there can be no technical inducement to use this optical isolator, which does not function as an optical isolator, in combination with the broadband, semi-double-type of the <u>Chang</u> patent.

optical isolator according to the Chang patent with the optical isolator of the Trotter publication, it would be natural that the polarizers are used so that all three polarizers (49a, 49b, 49c) are replaced with the polarizer comprising photonic crystals. There is no technical reason why only two polarizers (49a, 49c) among the polarizers provided in a group of three (49a, 49b, 49c) should be selectively replaced with the polarizer comprising photonic crystals.

Accordingly, any broadband semi-double-type optical isolator that may be made up of polarizers which are used so that all three polarizers (49a, 49b, 49c) are replaced with the polarizer comprising photonic crystals would have the structure shown in Fig. 3A of the

OA dated July 12, 2007

Amdt. dated November 13, 2007

Page 8

attached EXHIBIT. As is shown therein, this broadband semi-double-type optical isolator

has the structure of a photonic crystal polarizer/Faraday rotator/photonic crystal

polarizer/Faraday rotator/photonic crystal polarizer.

However, in the broadband semi-double-type optical isolator as shown in Fig. 3A of

the EXHIBIT also, the reflection-return light is, as shown in Fig. 3B, repeatedly reflected

four times in the interior of the optical isolator and finally passes through the incident-side

photonic crystal polarizer (on the left side as viewed in Fig. 3A). Thus, such an optical

isolator by no means functions as an optical isolator.

More specifically, as shown in Fig. 3B of the EXHIBIT, even where the reflection-

return light has passed through the emergent-side photonic crystal polarizer (on the right

side as viewed in Fig. 3A), its plane of polarization is rotated by 45° at the Faraday rotator

near the emergent side (the second from the right as viewed in Fig. 3A). Consequently,

light crosses the plane of polarization of the middle-positioned photonic crystal polarizer

(the middle as viewed in Fig. 3A) adjacent this Faraday rotator, and is intercepted.

However, since this photonic crystal polarizer is a reflection-type polarizer, as stated

previously, light intercepted at the middle-positioned photonic crystal polarizer (the middle

as viewed in Fig. 3A) is reflected at this photonic crystal polarizer and again enters the

Faraday rotator near the emergent side (the second from the right as viewed in Fig. 3A).

OA dated July 12, 2007

Amdt. dated November 13, 2007

Page 9

Then, its plane of polarization is further rotated by 45° by this Faraday rotator.

Hence, light crosses the plane of polarization of the emergent-side photonic crystal

polarizer (on the right side as viewed in Fig. 3A) and is intercepted. Light thus intercepted

is reflected at this photonic crystal polarizer and again enters the Faraday rotator near the

emergent side (the second from the right as viewed in Fig. 3A), where its plane of

polarization is further rotated by 45°. Thereafter, it passes through the middle-positioned

photonic crystal polarizer (the middle as viewed in Fig. 3A) and enters the Faraday rotator

near to the incident side (the second from the left as viewed in Fig. 3A).

As to the reflection-return light having entered the Faraday rotator near to the

incident side (the second from the left as viewed in Fig. 3A), its plane of polarization is

rotated by 45° at this Faraday rotator. Thereafter, the light crosses the plane of

polarization of the incident-side photonic crystal polarizer (on the left side as viewed in Fig.

3A) and is intercepted. Light thus intercepted is reflected at this photonic crystal polarizer

and again enters the Faraday rotator near to the incident side (the second from the left as

viewed in Fig. 3A). Then, its plane of polarization is further rotated by 45° by this Faraday

rotator. As a result, the light crosses the plane of polarization of the middle-positioned

photonic crystal polarizer (the middle as viewed in Fig. 3A) and is intercepted. Light thus

intercepted is reflected at this photonic crystal polarizer and again enters the Faraday

rotator near to the incident side (the second from the right as viewed in Fig. 3A), where its

plane of polarization is further rotated by 45°, and passes through the incident-side

OA dated July 12, 2007 Amdt. dated November 13, 2007

Page 10

photonic crystal polarizer (on the left side as viewed in Fig. 3A).

Therefore, in the broadband semi-double-type optical isolator in which all three polarizers (49a, 49b, 49c) in the <u>Chang</u> patent are replaced with the polarizer comprising photonic crystals, the reflection return light is repeatedly reflected four times in the interior of the optical isolator and finally undesirably passes through the incident-side photonic crystal polarizer (on the left side as viewed in Fig. 3A of the EXHIBIT). As a consequence, such an optical isolator by no means functions as any type of practical optical isolator.

Thus, even if the broadband semi-double-type optical isolator of the <u>Chang</u> patent is combined with the optical isolator of the <u>Trotter</u> publication as asserted in the Action, such a combination does not produce the broadband semi-double-type optical isolator of the claimed invention, which functions as the optical isolator.

## Broadband semi-double-type optical isolator of the claimed invention

In the broadband semi-double-type optical isolator recited in claim 2, the middle-positioned polarizer is a glass polarizer and also the outer-side polarizer is a polarizer comprising photonic crystals. More specifically, the broadband semi-double-type optical isolator of the presently claimed invention has the structure of photonic crystal polarizer/Faraday rotator/glass polarizer/Faraday rotator/photonic crystal polarizer.

Thus, the broadband semi-double-type optical isolator of the claimed invention enables itself to function as the optical isolator because any reflection of the reflection

return light does not take place in the interior of the optical isolator.

having the structure of photonic crystal polarizer/Faraday rotator/glass polarizer/Faraday rotator/photonic crystal polarizer, the reflection return light having passed through the

That is, in the broadband semi-double-type optical isolator of the claimed invention,

emergent-side photonic crystal polarizer and the plane of polarization of which has been

rotated by 45° at the Faraday rotator near to the emergent side crosses the plane of

polarization of the middle-positioned glass polarizer to become intercepted. Then, since

the glass polarizer is an absorption type polarizer as stated previously, the above reflection

return light is absorbed in the middle-positioned glass polarizer to be intercepted without

being reflected at this glass polarizer. Accordingly, the broadband semi-double-type optical

isolator of the claimed invention enables itself to function as the optical isolator because

any reflection of the reflection return light does not take place in the interior of the optical

isolator.

For at least the foregoing reasons, it is submitted that the pending claims are

allowable over the patent publications to Chang and Trotter. Accordingly, withdrawal of the

outstanding rejection is in order, and such action is respectfully requested.

To the extent necessary during prosecution, Applicant hereby requests any required

extension of time not otherwise requested and hereby authorize the commissioner to

Serial No. 10/531,284 OA dated July 12, 2007 Amdt. dated November 13, 2007 Page 12

charge any required fee not otherwise provided, including application processing, extension, and extra claims fees, to Deposit Account 01-2340.

Respectfully submitted,

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PATENT TRADEMARK OFFICE

Enclosure: EXHIBIT (Figs. 1A-3B); Replacement Sheets (2)





